

32692
Customer Number



Patent
Case No.: 58391US002

JRW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FAN, XUDONG

Application No.: 10/685049 Confirmation No.: 5689

Filed: October 14, 2003

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date

May 26, 2006 Jessica L. Nolan

Signed by: Jessica L. Nolan

Dear Sir:

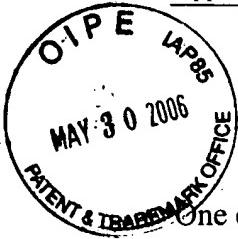
Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p).

05/31/2006 HGUTEMA1 00000021 133723 10685049

01 FC:1806 180.00 DA

**Fees:**

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

One copy of this sheet marked duplicate is also enclosed.

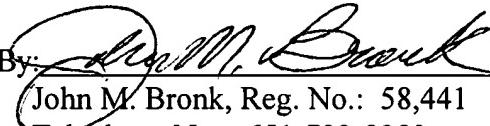
Any required fee will be paid at the time of EFS-Web submission.

If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Date 05/26/2006

By:


John M. Bronk, Reg. No.: 58,441
Telephone No.: 651-733-8383

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)		Application Number	10/685049
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 14, 2003
(Use as many sheets as necessary)		First Named Inventor	Fan, Xudong
MAY 30 2006 Page 1 of 2		Confirmation Number	5689
		Attorney Case Number	58391US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2004/0196465 A1	10-07-2004	Arnold et al.	
	A2	US- 2005/0263679 A1	12-01-2005	Fan et al.	
	A3	US- 2005/0265658 A1	12-01-2005	Fan et al.	
	A4	US-			
	A5	US-			

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
	B1					
	B2					

OTHER DOCUMENTS						
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation (Check if yes)
	C1	LUK, J.M.C., et al; "Rapid and Sensitive Detection of <i>Salmonella</i> (O : 6,7) by Immunomagnetic Monoclonal Antibody-Based Assays", <i>Journal of Immunological Methods</i> (1991); Vol.137; pp.1-8.				
	C2	MARTIN, A.L., et al; "Replica-Molded High-Q Polymer Microresonators", <i>Optics Letters</i> (March 15, 2004); Vol. 29, No. 6; pp. 533-535.				
	C3	PETTIPHER, G.L., et al; "Rapid Enumeration of Microorganisms in Foods by the Direct Epifluorescent Filter Technique", <i>Applied and Environmental Microbiology</i> (Oct. 1982); Vol.44, No.4; pp.809-813.				
	C4	PLOWMAN, T.E., et al; "Femtomolar Sensitivity Using a Channel-Etched Thin Film Waveguide Fluoroimmunosensor", <i>Biosensors & Bioelectronics</i> (1996); Elsevier Science Ltd.; Vol.11, No.1/2; pp.149-160.				
	C5	POPESCU, A., et al; "The Gram Stain after More than a Century", <i>Biotechnic and Histochemistry</i> (1996); Vol. 71, No. 3; pp. 145-151.				
	C6	SUMETSKY, M., "Whispering-Gallery-Bottle Microcavities: the Three-Dimensional Etalon", <i>Optics Letters</i> (Jan. 1, 2004); Vol. 29, No. 1; pp. 8-10.				
	C7	TORTORELLO, M.L., et al; "Antibody-Direct Epifluorescent Filter Technique for Rapid, Direct Enumeration of <i>Escherichia coli</i> O157:H7 in Beef", <i>Applied and Environmental Microbiology</i> (Oct. 1994); Vol.60, No.10; pp. 3553-3559.				

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute for form 1449A/PTO (modified)		Application Number	10/685049
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 14, 2003
(Use as many sheets as necessary)		First Named Inventor	Fan, Xudong
Page 2 of 2		Confirmation Number	5689
		Attorney Case Number	58391US002

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C8	TORTORELLO, M.L., et al; "Rapid Identification of <i>Escherichia coli</i> O157:H7 in Bovine Feces Using the Antibody-Direct Epifluorescent Filter Technique (Ab-DEFT)", <i>Veterinary Microbiology</i> (1996); Vol.51; pp. 343-349.	
	C9	VERNOOY, D.W., et al; "High-Q Measurements of Fused-Silica Microspheres in the Near Infrared", <i>Optics Letters</i> (Feb. 15, 1998); Vol. 23, No. 4; pp. 247-249.	
	C10	XU, G.; "Gram Stain", University of Pennsylvania Health System [on line]; [available on the internet on Oct. 31, 1997]; [retrieved from the internet on Dec. 15, 2004]; URL < http://www.uphs.upenn.edu/bugdrug/antibiotic_manual/gram.htm >; pp. 10.	
	C11		

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	